E UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DAMEN et al.

S/N: 10/796,943

Filed: March 10, 2004 Entitled: Freeze Dryer Atty. Dkt.: M04B106

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group No.: 3749

Examiner: Stephen Michael Gravini

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence and every writing referred to herein as being enclosed is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 28, 2005

Betty Lee

Printed name of person signing this certificate

Signature of person mailing

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(C))

## TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- 1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either:
  - (1) a final action under § 1.113 or
  - a notice of allowance under § 1.311, (2)

whichever occurs first.

# STATEMENT OR FEE

2.	Accompanying this transmittal is					
	(check either A or B below)					
	A.   a statement as specified in 37 C.F.R. § 1.97(e).					
	OR					
	B. □ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).					
	FEE PAYMENT (complete this item, if applicable)					
3.	Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).					
	Fee due \$					
	METHOD OF PAYMENT OF FEE					
4.	☐ Authorization is hereby made to charge the amount of \$					
	ĭ to Deposit Account No. <u>02-2865</u>					
	Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.					
	A duplicate of this paper is attached.					
Date:	July 27, 2005 <u>France Mull</u> SIGNATURE OF PRACTITIONER					
Reg.	1: 31,147 Ira Lee Zebrak					
Tel. N	The BOC Group, Inc. Legal Services-Intellectual Property					
Custo	575 Mountain Avenue ner No.: 020411 Murray Hill, NJ 07974					

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Experient: DAMEN et al.

Serial No.: 10/796,943 Filed: March 10, 2004 Entitled: Freeze Dryer

Atty. Dkt.: M04B106

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit: 3749

Examiner: Stephen Michael Gravini

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July

(Date)

Betty Lee

Printed name of person signing this certificate

Signature of person mailing

Dear Sir:

# STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. Section 1.97(e)

# IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement herewith.

#### **STATEMENT**

2. I, the person signing below, state that each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. 37 C.F.R. Section 1.97(e)(1).

#### IDENTIFICATION OF PERSON MAKING THIS STATEMENT

- 3. The person making this certification is:
  - (a) the practitioner who signs below on the basis of the information in the practitioner's file.

Date:

Reg. No.: 31,147

Tel. No.: (908) 771-6469

Customer No.: 020411

IGNATURE OF PRACTITIONER

Ira Lee Zebrak

The BOC Group, Inc.

Legal Services-Intellectual Property

575 Mountain Avenue

Murray Hill, NJ 07974

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE

1449/PTO

AUG 0 1 2005

Sheet 1

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known

Application Number 10/796,943

Filing Date March 10, 2004

First Named Inventor DAMEN et al.

Art Unit 3749

Examiner Name Stephen Michael Gravini

Attorney Docket Number M04B106

			U. S. PATEN	DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	<sup>US-</sup> 1 963 089	06-19-1934	Henderson		
	2	<sup>US-</sup> 2 244 405	06-03-1941	Schilling, Jr.		
	3	US- 2 322 377	06-22-1943	McHarg		
	4	<sup>US-</sup> 4 624 512	11-25-1986	Fay		
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
	5	DE 295 01 564 U1	03-09-1995	AIRTEC LUFT		
	6	DE 297 19 623 U1	12-18-1997	Kaufmann GmbH		
	7	FR 726 863 A	06-04-1932	Nadaud		Г
	8	FR 2 780 148 A	12-24-1999	Societe D'Utilisation	-	
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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INF	ORMATION	I DIS	CLOSURE	Filing Date	March 10, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	DAMEN et al.	
(Use as many sheets as necessary)			occessor)	Art Unit	3749	·
			ecessary)	Examiner Name	Stephen Michael Gravini	
Sheet	2	of	2	Attorney Docket Number	M04B106	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	9	International Search Report of International Application No. PCT/GB2005/000665; Date of mailing of the International Search Report: 6/22/05	

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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